

**Retail clients**

| Class of Instrument   | Equities   |  |   |                                 |                               |
|---|--|--|---|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year           | Y  |  |   |                                 |                               |
| Top five entities (brokers) ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders <sup>1</sup> | Percentage of aggressive orders | Percentage of directed orders |
| Interactive Brokers (U.K.) Ltd.   | 100.00%  | 100.00%  | N/A                                       | N/A                             | N/A                           |
| IC Freedom Finance LLC  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| EXANTE Limited  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| FFIN Brokerage Services Inc   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| N/A   | N/A  | N/A  | N/A                                       | N/A                             | N/A                           |

**Professional clients**

| Class of Instrument   | Equities   |  |   |                                 |                               |
|---|--|--|---|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year           | Y  |  |   |                                 |                               |
| Top five entities (brokers) ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders <sup>1</sup> | Percentage of aggressive orders | Percentage of directed orders |
| Interactive Brokers (U.K.) Ltd.   | 94.19%   | 42.50%   | N/A                                       | N/A                             | N/A                           |
| IC Freedom Finance LLC  | 5.71%  | 56.75%   | N/A                                       | N/A                             | N/A                           |
| EXANTE Limited  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| FFIN Brokerage Services Inc   | 0.10%  | 0.75%  | N/A                                       | N/A                             | N/A                           |
| N/A   | N/A  | N/A  | N/A                                       | N/A                             | N/A                           |

**Professional clients**

| Class of Instrument   | Eurobonds  |  |   |                                 |                               |
|---|--|--|---|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year           | Y  |  |   |                                 |                               |
| Top five entities (brokers) ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders <sup>1</sup> | Percentage of aggressive orders | Percentage of directed orders |
| Interactive Brokers (U.K.) Ltd.   | 100.00%  | 100.00%  | N/A                                       | N/A                             | N/A                           |
| IC Freedom Finance LLC  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| EXANTE Limited  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| FFIN Brokerage Services Inc   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| N/A   | N/A  | N/A  | N/A                                       | N/A                             | N/A                           |

**Professional clients**

| Class of Instrument   | Local bonds  |  |   |                                 |                               |
|---|--|--|---|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year           | Y  |  |   |                                 |                               |
| Top five entities (brokers) ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders <sup>1</sup> | Percentage of aggressive orders | Percentage of directed orders |
| Interactive Brokers (U.K.) Ltd.   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| IC Freedom Finance LLC  | 100.00%  | 100.00%  | N/A                                       | N/A                             | N/A                           |
| EXANTE Limited  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| FFIN Brokerage Services Inc   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| N/A   | N/A  | N/A  | N/A                                       | N/A                             | N/A                           |

**Professional clients**

| Class of Instrument   | ETFs   |  |   |                                 |                               |
|---|--|--|---|---------------------------------|-------------------------------|
| Notification if < 1 average trade per business day in the previous year           | Y  |  |   |                                 |                               |
| Top five entities (brokers) ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders <sup>1</sup> | Percentage of aggressive orders | Percentage of directed orders |
| Interactive Brokers (U.K.) Ltd.   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| IC Freedom Finance LLC  | 100.00%  | 100.00%  | N/A                                       | N/A                             | N/A                           |
| EXANTE Limited  | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| FFIN Brokerage Services Inc   | 0.00%  | 0.00%  | N/A                                       | N/A                             | N/A                           |
| N/A   | N/A  | N/A  | N/A                                       | N/A                             | N/A                           |